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| PPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO |
|---|----------------|----------------------|-------------------------|-----------------|
| 09/601,234 | 10/30/2000 | Kenichi Morigaki | MAT-799US | 8757 |
| 75 | 590 02/20/2003 | | | |
| Lawrence E Ashery Ratner & Prestia Suite 301 One Westlakes Berwyn | | | EXAMINER | |
| | | | TSANG FOSTER, SUSY N | |
| PO Box 980 Valley Forge, PA 19482-0980 | | | ART UNIT | PAPER NUMBER |
| valley Forge, I | A 17402-0700 | | 1745 | 18 |
| | | | DATE MAILED: 02/20/2003 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Application, No. | Applicant(s) | | | |
|---|---|------------------------|--|--|--|--|
| Office Action Summary | | 09/601,234 | MORIGAKI ET AL. | | | |
| | | Examiner | Art Unit | | | |
| | | Susy N Tsang-Foster | 1745 | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | | |
| 1)⊠ Responsive | to communication(s) filed on 09 E | December 2002 . | | | | |
| 2a)☐ This action i | s FINAL . 2b) ☐ Thi | s action is non-final. | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims | | | | | | |
| 4)⊠ Claim(s) <u>1-2</u> | $\underline{\textit{0}}$ is/are pending in the application | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6) Claim(s) is/are rejected. | | | | | | |
| 7) Claim(s) | is/are objected to. | | | | | |
| 8) Claim(s) <u>1-20</u> are subject to restriction and/or election requirement. Application Papers | | | | | | |
| 9)☐ The specification is objected to by the Examiner. | | | | | | |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner. | | | | | | |
| If approved, corrected drawings are required in reply to this Office action. | | | | | | |
| 12) The oath or declaration is objected to by the Examiner. | | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | | |
| 2. Certifie | 2. Certified copies of the priority documents have been received in Application No | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). | | | | | | |
| a) ☐ The translation of the foreign language provisional application has been received. 15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. | | | | | | |
| Attachment(s) | | | | | | |
| | Cited (PTO-892) o's Patent Drawing Review (PTO-948) o Statement(s) (PTO-1449) Paper No(s) | 5) Notice of Informa | ry (PTO-413) Paper No(s) I Patent Application (PTO-152) | | | |

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Notice of Non-responsive Amendment

1. The reply filed on 12/9/2002 is not fully responsive to the prior Office Action because of the following omission(s) or matter(s):

Applicants did not elect a species from the third category for the additional element of the solid solution or intermetallic compound in the previous election of species requirement.

Applicants only elected the silicon species from the first and second categories in the election of species requirement. Specifically, pending claims 13, 14, and 16 recite specific species for the additional element of the solid solution or intermetallic compound. Applicants are required to elect a species from the third category for the additional element of the solid solution or intermetallic compound in response to the previous election of species requirement.

See 37 CFR 1.111. Since the above-mentioned reply appears to be *bona fide*, applicant is given **ONE** (1) **MONTH or THIRTY** (30) **DAYS** from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

2. Any inquiry concerning this communication or earlier communications should be directed to examiner Susy Tsang-Foster, Ph.D. whose telephone number is (703) 305-0588. The examiner can normally be reached on Monday through Thursday from 9:30 AM to 8:00 PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Ryan can be reached at (703) 308-2383. The phone number for the organization where this application or proceeding is assigned is (703) 305-5900.

The fax phone numbers for the organization where this application or proceeding is assigned is (703) 872-9310 for regular communications and (703) 872-9311 for After-Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0661.

st/14 February 2003

Ausy Lang Foster